



Complaints procedure for



Icknield Community College

An Acer Trust School

Approved by: Acer Trust Board for use in Acer Trust Schools
Date: March 16 2021

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This procedure is based on the DfE (Department for Education) Model Policy and will be reviewed every two years, or sooner if new guidance is produced by the DfE.

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Complaints procedure for Icknield Community College

Who can make a complaint?

This complaints procedure is not limited to parents or carers of children that are registered at the school. Any person, including members of the public, may make a complaint to Icknield Community College about any provision of facilities or services that we provide. Unless complaints are dealt with under separate statutory procedures (such as appeals relating to exclusions or admissions), we will use this complaints procedure.

The difference between a concern and a complaint

A concern may be defined as *'an expression of worry or doubt over an issue considered to be important for which reassurances are sought'*.

A complaint may be defined as *'an expression of dissatisfaction however made, about actions taken or a lack of action'*.

It is in everyone's interest that concerns and complaints are resolved at the earliest possible stage. Many issues can be resolved informally, without the need to use the formal stages of the complaints procedure. Icknield Community College takes concerns seriously and will make every effort to resolve the matter as quickly as possible.

If you have difficulty discussing a concern with a particular member of staff, we will respect your views. In these cases, you will be referred to an appropriate alternative staff member. Similarly, if the member of staff directly involved feels unable to deal with a concern, you will be referred to another staff member. The member of staff may be more senior but does not have to be. The ability to consider the concern objectively and impartially is more important.

We understand however, that there are occasions when people would like to raise their concerns formally. In this case, Icknield Community College will attempt to resolve the issue internally, through the stages outlined within this complaints procedure.

How to raise a concern or make a complaint

Concerns can be raised in person, in writing or by telephone. They may also be made by a third party acting on behalf of the person with the concern, as long as they have appropriate consent to do so. Concerns should be raised with either the class teacher or headteacher.

If the issue remains unresolved, the next step is to make a formal complaint, using the Contact details (Appendix A) and the Complaint form (Appendix B). If you require assistance with completing the form, please contact the school office. You can also ask a third-party organisation such as Citizens Advice to help you.

Complainants should not approach individual governors to raise concerns or complaints. They have no power to act on an individual basis and it may also prevent them from considering complaints at a later stage of the procedure.

In accordance with equality law, we will consider making reasonable adjustments if required, to enable complainants to access and complete this complaints procedure. For instance, providing information in alternative formats, assisting complainants in raising a formal complaint or holding meetings in accessible locations.

Anonymous complaints

We will not normally investigate anonymous complaints. However, the Headteacher, Chair of Governors, CEO or Chair of Trustees, as appropriate, will determine whether the complaint warrants an investigation.

Timescales for raising a complaint

You must raise the complaint within three months of the incident or, where a series of associated incidents have occurred, within three months of the last of these incidents. We will consider complaints made outside of this time frame only if exceptional circumstances apply.

Note: Exceptional circumstances are defined here as circumstances that could not reasonably have been foreseen and for which there is insufficient time to take the necessary action to resolve the situation arising from those circumstances within the published timescales.

Complaints received outside of term time

We will consider complaints made outside term time to have been received on the first school day after the holiday period.

Audio or video evidence

Complainants should make sure they obtain informed consent from all parties present before recording conversations or meetings. In line with DfE guidance, we do not normally accept electronic recordings as evidence when we are asked to consider a complaint. Unless exceptional circumstances apply, we will not accept, as evidence, recordings of conversations that were obtained covertly and without informed consent of all parties being recorded.

Deviation from the procedure

There may be occasions when it is necessary or reasonable to deviate from the published complaints procedure. In these cases, the complainant will be kept informed and reasons for the deviation given.

Scope of this complaints procedure

This procedure covers all complaints about any provision of community facilities or services by Icknield Community College, other than complaints that are dealt with under other statutory procedures, including those listed below.

Exceptions	Who to contact
<ul style="list-style-type: none"> Admissions to schools 	<p>Concerns about admissions should be handled through a separate process – either through the appeals process or via the local authority.</p> <p>OCC Admissions</p>
<ul style="list-style-type: none"> Matters likely to require a Child Protection Investigation 	<p>Complaints about child protection matters are handled under our child protection and safeguarding policy and in accordance with relevant statutory guidance.</p> <p>If you have serious concerns, you may wish to contact the Local Authority Designated Officer (LADO) who has local responsibility for safeguarding or the Multi-Agency Safeguarding Hub (MASH). What to do if you think a child is at risk of abuse or neglect</p>
<ul style="list-style-type: none"> Exclusion of children from school* 	<p>Further information about raising concerns about exclusion can be found at: www.gov.uk/school-discipline-exclusions/exclusions.</p> <p><i>*complaints about the application of the behaviour policy can be made through the school's complaints procedure. The school behaviour policy can be found at : https://www.icknield.oxon.sch.uk/school-information/policies.</i></p>
<ul style="list-style-type: none"> Whistleblowing 	<p>We have an internal whistleblowing procedure for all our employees, including temporary staff and contractors.</p> <p>The Secretary of State for Education is the prescribed person for matters relating to education for whistle-blowers in education who do not want to raise matters directly with their employer. Referrals can be made at: www.education.gov.uk/contactus.</p> <p>Volunteer staff who have concerns about our school should complain through the school's complaints procedure. You may also be able to complain directly to the Department for Education (see link above), depending on the substance of your complaint.</p>
<ul style="list-style-type: none"> Staff grievances 	<p>Complaints from staff will be dealt with under the school's internal grievance procedures.</p>
<ul style="list-style-type: none"> Staff conduct 	<p>Complaints about staff will be dealt with under the school's internal disciplinary procedures, if appropriate.</p> <p>Complainants will not be informed of any disciplinary action taken against a staff member as a result of a complaint. However, the complainant will be notified that the matter is being addressed.</p>

<ul style="list-style-type: none"> Complaints about services provided by other providers who may use school premises or facilities 	<p>Providers should have their own complaints procedure to deal with complaints about service. Please contact them directly.</p>
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If other bodies are investigating aspects of the complaint, for example the police, local authority (LA) safeguarding teams or Tribunals, this may impact on our ability to adhere to the timescales within this procedure or result in the procedure being suspended until those public bodies have completed their investigations. If this happens, we will inform you of a proposed new timescale.

If a complainant commences legal action against Icknield Community College in relation to their complaint, we will consider whether to suspend the complaints procedure until those legal proceedings have concluded.

Resolving complaints

At each stage in the procedure, Icknield Community College wants to resolve the complaint. If appropriate, we will acknowledge that the complaint is upheld in whole or in part. In addition, we may offer one or more of the following:

- an explanation
- an admission that the situation could have been handled differently or better
- an assurance that we will try to ensure the event complained about will not recur
- an explanation of the steps that have been or will be taken to help ensure that it will not happen again and an indication of the timescales within which any changes will be made
- an undertaking to review school policies in light of the complaint
- an apology.

Withdrawal of a complaint

If a complainant wants to withdraw their complaint, we will ask them to confirm this in writing.

Concerns and informal complaints

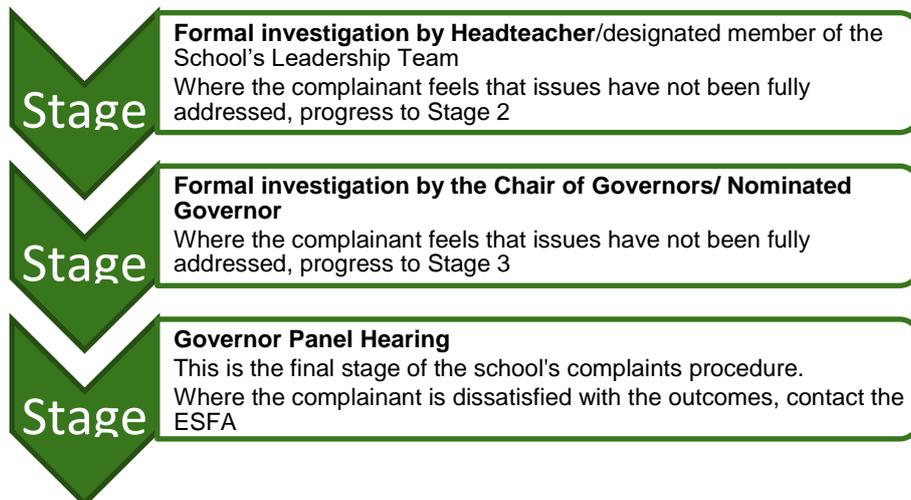
It is to be hoped that most concerns can be expressed and resolved on an informal basis, within 10 school working days.

Concerns should be raised with the most appropriate person e.g. class teacher, year head/subject head/House Leader or the Headteacher. Complainants should not approach individual governors to raise concerns or complaints. They have no power to act on an individual basis and it may also prevent them from considering complaints at a later stage of the procedure.

If the issue remains unresolved, the next step is to make a formal complaint.

Formal complaints procedure

Summary of stages and timeline for formal complaints procedure



Stage 1: Headteacher Investigation

- Acknowledgement of receipt of complaint to be sent within 3 days of receipt of the complaint.
- Complaint to be investigated and written response to be received by complainant within 15 days of receipt of the formal complaint.

Stage 2: Governor Investigation

- If the complainant believes that their complaint has not been fully addressed at stage 1, they may request to move to stage 2. This request must be made within 10 days of the receipt of the outcome letter at stage 1.
- Acknowledgement of receipt of complaint to be sent within 3 days of receipt of the complaint.
- Complaint to be investigated and written response to be received by complainant within 15 days of receipt of the stage 2 formal complaint.

Stage 3: Governor Panel Hearing

- If the complainant believes that their complaint has not been fully addressed at stage 2, they may request to move to stage 3. This request must be made within 10 days of the receipt of the outcome letter at stage 2.
- Acknowledgement of receipt of request to escalate complaint to stage 3 to be sent within 5 days.
- Hearing to be held within 30 days of receipt of request to go to stage 3.
- Paperwork for Stage 3 hearing to be received from all parties at least 10 days before the hearing.
- Paperwork for Stage 3 hearing to be sent to all parties at least 5 days before the hearing.
- Outcome letter from panel to be received by complainant within 10 days of the hearing.

Note: In all cases, timescales refer to school days so exclude weekends, school holidays, Public Holidays and INSET days.

A written record will be kept of all complaints, and of whether they are resolved at the preliminary stage or proceed to a panel hearing.

Stage 1: Headteacher investigation

Formal complaints must be made to the Headteacher (unless they are about the Headteacher), via the school office. This should be done in writing (preferably on the Complaint Form see Appendix A for the contact details of the Headteacher, and Appendix B for a copy of the form). The form is useful as it ensures that relevant information is communicated at the outset.

The Headteacher will record the date the complaint is received and will acknowledge receipt of the complaint in writing (either by letter or email) within **3 school days**.

Within this response, the Headteacher will seek to clarify the nature of the complaint, ask what remains unresolved and what outcome the complainant would like to see. The Headteacher can consider whether a face to face meeting is the most appropriate way of doing this.

Note: The Headteacher may delegate the investigation to another member of the school's senior leadership team but not delegate the decision to be taken.

During the investigation, the Headteacher (or investigator) will:

- if necessary, interview those involved in the matter and/or those complained of, allowing them to be accompanied if they wish
- keep a written record of any meetings/interviews in relation to their investigation.

At the conclusion of their investigation, the Headteacher will provide a formal written response **within 15 school days** of the date of receipt of the complaint.

If the Headteacher is unable to meet this deadline, they will provide the complainant with an update and revised response date.

The response will detail any actions taken to investigate the complaint and provide a full explanation of the decision made and the reason(s) for it. Where appropriate, it will include details of actions Icknield Community College will take to resolve the complaint.

The Headteacher will advise the complainant of how to escalate their complaint if they believe it has not been fully addressed at this stage.

If the complaint is about the Headteacher, or a member of the governing body (including the Chair or Vice-Chair), an appropriately skilled governor will be appointed to complete all the actions at Stage 2.

Complaints about the Headteacher or member of the governing body must be made to the Clerk, via the school office using the Complaints Form in Appendix B.

Complaints about the school's Governors

If the complaint is:

- jointly about the Chair and Vice Chair; or
- the entire governing body; or
- the majority of the governing body;

the complaint will move to Stage 2 of the process and will be investigated by the CEO for the Trust. The process will mirror that described for the governor investigation.

At the conclusion of their investigation, CEO will provide a formal written response to the complainant with a copy to the Headteacher.

Stage 2 – Governor investigation

If the complainant believes that their complaint has not been fully addressed at Stage 1, they may request a governor investigation. This should be done in writing, preferably using the Complaint Form (see Appendix A for the contact details of the clerk to the Governors, and Appendix B for a copy of the form) specifying how their complaint has not been fully addressed.

A request to escalate to Stage 2 must be made to the Clerk (see Appendix A) **within 10 days** of the Stage 1 response. The Clerk will record the date the complaint is received and will acknowledge receipt of the complaint in writing (either by letter or email) within **5 school days**.

Requests received outside this timeframe will only be considered in exceptional circumstances.

Note: Exceptional circumstances are defined here as circumstances that could not reasonably have been foreseen and for which there is insufficient time to take the necessary action to resolve the situation arising from those circumstances within the published timescales.

The Chair of Governors may choose to delegate the investigation of the complaint to a Nominated Governor who may, at the sole discretion of the Chair of Governors, be independent of the school. The investigating governor will have had no prior involvement in the complaint.

In order to clarify which parts of the complaint have not been fully addressed, or which part of the process have not been carried out effectively, the Chair of Governors/Nominated Governor will consider all relevant evidence; this may include but is not limited to:

- evidence and outcome from Stage 1 investigation, if applicable
- a statement from/discussion with the complainant
- where relevant a meeting with/statement from the Headteacher/ Investigator of Stage 1
- any previous correspondence regarding the complaint
- any supporting documents from all parties
- interviews with / statements from anyone related to the complaint
- relevant policies and whether they were followed.

After considering the available evidence, the Chair of Governors/Nominated Governor may:

- uphold the complaint and direct that certain action be taken to resolve it;
- dismiss the complaint in part: in other words, the Chair of Governors /Nominated Governor may find one aspect of the complaint to be valid, but not another aspect. They may recommend certain action to be taken to resolve any aspect that they find in favour of the complainant;
- dismiss the complaint in full.

The Chair of Governors/Nominated Governor should inform the complainant of their decision in writing **within 15 days of receipt of the request to escalate to Stage 2**. They should explain clearly why they have come to the decision that they made. They should detail any agreed actions as a result of the complaint. Finally, they should provide the complainant with details of how to progress the complaint to Stage 3 if they believe their complaint has not been fully addressed, providing them with the contact details of the Clerk to the Governing Body.

Stage 3 – Panel Hearing

If the complainant does not believe that their complaint has been fully addressed at Stage 2 and wishes to take the matter further, they can escalate the complaint to Stage 3. This consists of a Panel Hearing with at least three governors who were not directly involved in the matters detailed in the complaint or any previous investigation. One of the Complaint Panel members will be independent of the management and running of the Academy Trust. This means that the independent Complaint Panel member will not be a Trustee or an employee of the Trust. The independent panel member may be a governor at one of the other schools in the Trust.

Prior to the hearing, the panel members will decide amongst themselves who will act as the Chair of the Complaints Appeal Panel.

This is the final stage of the school's complaints procedure.

Timeline for Panel Hearing

A request to escalate to Stage 3 must be made to the Clerk, via the school office, within **10 school days** of receipt of the Stage 2 response. Requests received outside of this time frame will only be considered if exceptional circumstances apply and are explained to the Clerk at the same time that the request for a Stage 3 hearing is made.

The Clerk will record the date the complaint is received and acknowledge receipt of the complaint in writing (either by letter or email) within **5 school days**.

The Clerk will write to the complainant to inform them of the date of the meeting, which may be held by video conference if appropriate. They will aim to convene a meeting within **30 school days** of receipt of the Stage 3 request. If this is not possible, the Clerk will provide an anticipated date and keep the complainant informed. If the complainant rejects the offer of three proposed dates, without good reason, the Clerk will decide when to hold the meeting. In this case the Panel will meet with the Clerk in attendance and consider the complaint on the basis of written submissions from both parties, with neither party being present.

At least **15 school days** before the meeting, the Clerk will:

- confirm and notify both parties of the date, time and venue of the meeting, ensuring that, if the complainant is invited, the dates are convenient to all parties and that the venue and proceedings are accessible and
- inform all parties that copies of any further written material should be submitted to the clerk at least **10 school days** before the meeting. Any evidence submitted after this, including on the day of the hearing, will only be considered in exceptional circumstances with the agreement of the panel, whose decision is final.

Any written material will be collated and circulated by the clerk to all parties so that it is received at least **5 school days** before the date of the meeting. The Panel will not normally accept, as evidence, recordings of conversations that were obtained covertly and without the informed consent of all parties being recorded.

Attendees at the meeting

The Clerk will invite the following parties as applicable:

- a panel of three governors, one or more of whom is independent
- the complainant
- the Headteacher
- the investigator (if appropriate)

- the Chair of Governors/Nominated Governor who dealt with the complaint at Stage 2
- relevant witnesses

The complainant may ask to be accompanied to the meeting by a supportive companion, interpreter or advocate. It is not advisable for this person to be a member of the school community, for reasons of confidentiality and to avoid conflict of interest. The complainant must advise the Clerk to the Complaint Review Panel of the name and role of this additional person prior to the hearing, and the Clerk will seek agreement from the chair of the Panel. If the additional person is attending as an advocate, they will be presenting the complainant's case and speaking on their behalf, and therefore the complainant will not be able to address the Panel directly. If the additional person is attending as a supportive companion they will not be able to address the Panel directly.

As this is not a legal process, neither party may bring legal representation with them except in exceptional circumstances, by prior agreement of the panel.

Representatives from the media are not permitted to attend.

Conduct of the meeting

The aim of the meeting is to resolve the complaint and achieve reconciliation between the school and the complainant. The Panel will:

- consider those aspects of the complaint which the complainant believes have not been fully addressed at the previous stages in the complaints procedure and where the complainant believes that the school has not followed its complaints policy.
- consider the effectiveness of the investigation process at Stage 1 and 2
- consider ways to resolve the complaint and, if possible, achieve reconciliation between the school and the complainant

The Panel will not only consider the handling of the complaint at the previous stages, but will also consider those aspects of the original complaint which the complainant believes have not been fully addressed.

The panel hearing will consider the complaint as was submitted at stage 1 and 2. Any new issues will need to be dealt with by a separate complaint procedure.

We recognise that the complainant might not be satisfied with the outcome if the meeting does not find in their favour. It may only be possible to establish the facts and make recommendations. Financial compensation is not a possible outcome of the process.

The meeting will be held in private and is confidential. Electronic recordings of meetings or conversations are not normally permitted unless a complainant's own disability or additional needs require it. Prior knowledge and consent of all parties attending must be sought before meetings or conversations are recorded. Consent will be recorded in any minutes taken.

It is the role of the Panel Chair to ensure that the meeting is conducted professionally and each party is treated with respect. In exceptional circumstances, the Panel Chair may decide to hold the meeting with one party in the room at a time. In this case, the model agenda (Appendix C) will need to be adapted accordingly.

The format of the meeting is that the complainant is given a set amount of time to make their case. The Panel and the school may then ask the complainant questions for clarification. The school then has the same amount of time to make their case. The Panel and complainant then have the opportunity to seek clarification from the school. Both parties then leave the meeting and the Panel will deliberate. (See Appendix C)

The Panel will consider the complaint and all the evidence presented and will come to their decisions on the balance of probabilities. The Panel can:

- uphold the complaint in whole or in part
- dismiss the complaint in whole or in part
- determine that all or part of the complaint is out of their scope to consider.

If the complaint is upheld in whole or in part, the Panel will:

- decide on the appropriate action to be taken to resolve the complaint
- where appropriate, recommend changes to the school's systems or procedures to prevent similar issues in the future.

The Chair of the Panel will provide the complainant and the Headteacher of Icknield Community College with a full explanation of their decision and the reason(s) for it, in writing, and a copy of the minutes of the Panel hearing, **within 10 school days**.

The letter to the complainant will include details of how to contact the Education and Skills Funding Agency (ESFA) if they believe that their complaint has been handled unreasonably or unlawfully by Icknield Community College.

The response will detail any actions taken to investigate the complaint and provide a full explanation of the decision made and the reason(s) for it. Where appropriate, it will include details of actions Icknield Community College will take to resolve the complaint.

The Panel will ensure that those findings and recommendations are sent by post, electronic mail or otherwise given to the complainant and, where relevant, the person complained about, with a copy to the Headteacher.

The Panel's findings and recommendations will also be made available for inspection on the school premises by the Acer Trust Board and the headteacher.

Written records

A written record will be kept of all complaints, and of whether they are resolved at the preliminary stage or proceed to a panel hearing.

The complaint investigator/the Chair of the Complaint Review Panel will ensure that a copy of all relevant information relating to the complaint is kept at the school in a secure, confidential* file, separate from staff and pupil records.

This information should be retained for six years from the date of the complaint, in line with guidance from the Information and Records Management Society (www.irms.org.uk) and in accordance with the principles of the Data Protection Act (DPA) 2018 and the General Data Protection Regulations (GDPR). The complainant should be informed that this will be done.

* Please note that the Secretary of State or a body conducting an inspection under section 109 of the Education and Skills Act 2008 may request access to complaints documentation.

Complaints about governors

If the complaint is:

- jointly about the Chair and Vice Chair or
- the entire governing body or
- the majority of the governing body

Stage 3 will be heard by a committee of trustees and an independent panel member.

Complaints about the Trust

If a complainant wishes to complain directly about the Trust, then the complaint should be sent to the CEO to be investigated.

The CEO will write to the complainant acknowledging the complaint within 5 school days of the date that the written request was received. The acknowledgement will confirm that the complaint will now be investigated under Stage 2 of this Complaints Policy and will confirm the date for providing a response to the complainant.

Following the investigation, the CEO will write to the complainant confirming the outcome within **15 school days** of the date that the letter was received. If this time limit cannot be met, the CEO will write to the complainant explaining the reason for the delay and providing a revised date.

If the complaint concerns the CEO or a Trustee, the complaint should be investigated by the Chair of the Trust Board. If a formal complaint form is received about the Chair, the complaint will be referred to the Vice Chair for investigation.

Note: Where the Chair of the Trust Board has investigated the complaint, they will write the letter of outcome to the Complainant and provide a copy to the CEO.

If the complainant is not satisfied with the outcome of the previous stage, the complainant should write to the Clerk to the Trust Board asking for the complaint to be heard before a Complaint Panel, within 10 school days. The procedure will mirror that outlined under Stage 3 – Panel Hearing above.

If the complaint is:

- jointly about the Chair and Vice Chair of the Trust or
- the entire trust board or
- the majority of the trust board,

Stage 3 will be heard by a completely independent panel.

Next Steps

If the complainant believes the school / trust did not handle their complaint in accordance with the published complaints procedure or they acted unlawfully or unreasonably in the exercise of their duties under education law, they can contact the ESFA after they have completed Stage 3, the panel hearing.

The ESFA will not normally reinvestigate the substance of complaints or overturn any decisions made by Icknield Community College, part of the Acer Trust. They will consider whether Icknield Community College has adhered to education legislation and any statutory policies connected with the complaint and whether they have followed [Part 7 of the Education \(Independent School Standards\) Regulations 2014](#).

The complainant can refer their complaint to the ESFA online at:

www.education.gov.uk/contactus, by telephone on: 0370 000 2288 or by writing to:

Academy Complaints and Customer Insight Unit
Education and Skills Funding Agency
Cheylesmore House
5 Quinton Road
Coventry
CV1 2WT

Procedure for managing serial and unreasonable complaints

Icknield Community College is committed to dealing with all complaints fairly and impartially, and to providing a high quality service to those who complain. We will not normally limit the contact complainants have with our school. However, we do not expect our staff to tolerate unacceptable behaviour and will take action to protect staff from that behaviour, including that which is abusive, offensive or threatening.

Icknield Community College defines unreasonable behaviour as that which hinders our consideration of complaints because of the frequency or nature of the complainant's contact with the school, such as, if the complainant:

- refuses to articulate their complaint or specify the grounds of a complaint or the outcomes sought by raising the complaint, despite offers of assistance
- refuses to co-operate with the complaints investigation process
- refuses to accept that certain issues are not within the scope of the complaints procedure
- insists on the complaint being dealt with in ways which are incompatible with the complaints procedure or with good practice
- introduces trivial or irrelevant information which they expect to be taken into account and commented on
- raises large numbers of detailed but unimportant questions, and insists they are fully answered, often immediately and to their own timescales
- makes unjustified complaints about staff who are trying to deal with the issues, and seeks to have them replaced
- changes the basis of the complaint as the investigation proceeds
- repeatedly makes the same complaint (despite previous investigations or responses concluding that the complaint is groundless or has been addressed)
- refuses to accept the findings of the investigation into that complaint where the school's complaint procedure has been fully and properly implemented and completed including referral to the Department for Education
- seeks an unrealistic outcome
- makes excessive demands on school time by frequent, lengthy and complicated contact with staff regarding the complaint in person, in writing, by email and by telephone while the complaint is being dealt with
- uses threats to intimidate
- uses abusive, offensive or discriminatory language or violence
- knowingly provides falsified information
- publishes unacceptable information on social media or other public forums.

Complainants should try to limit their communication with the school that relates to their complaint, while the complaint is being progressed. It is not helpful if repeated correspondence is sent (either by letter, phone, email or text), as it could delay the outcome being reached.

Whenever possible, the Headteacher or Chair of Governors will discuss any concerns with the complainant informally before determining that they may be acting "unreasonably".

If the behaviour continues, the Headteacher will write to the complainant explaining that their behaviour is unreasonable and ask them to change it. For complainants who excessively contact Icknield Community College causing a significant level of disruption, we may specify methods of communication and limit the number of contacts in a communication plan. This will be reviewed after six months.

In response to any serious incident of aggression or violence, we will immediately inform the police and communicate our actions in writing. This may include barring an individual from

Icknield Community College. A decision to bar an individual will be reviewed by either the chair of Governors or a committee of Governors. If confirmed the school will notify the decision in writing, confirming the length of such action and when it may be reviewed to the complainant.

Procedure for managing complaint campaigns

In the rare event that the school receives a large volume of complaints all based on the same subject, from complainants unconnected with the school, we will either send a template response to all complainants or publish a single response on the school's website.

Appendix A – Contact details

Icknield Community College
Love Lane
Watlington
Oxon
OX49 5RB

Tel No : 01491 612691
Email : office.4082@icknield.oxon.sch.uk

Mr Mat Hunter, Headteacher
Tel No : 01491 612691
Email : headteacher@icknield.oxon.sch.uk

Mr David Marcou, Chair of Governors
Tel No : 01491 612691
Email : office.4082@icknield.oxon.sch.uk

Mrs Helen Batten, Clerk to the Governing Body
Tel No : 01491 612691
Email : office.4082@icknield.oxon.sch.uk

CEO of the Acer Trust - Katherine Ryan MBE % Acer Trust Administrator, Mrs Nicky Hills,
Email: nhills@maschool.org.uk

- **Complaints against school staff** (except the Headteacher) at a school in the Acer Trust should be made in the first instance to the Headteacher. Please mark them as Private and Confidential.
- **Complaints that involve or are about the Headteacher** of a school in the Acer Trust should be addressed to the school's Chair of Governors, marked Private and Confidential.
- **Complaints about an individual Acer Trust school governor**, including the Chair or Vice Chair of Governors should be addressed to the Clerk to the School Governing Body, marked Private and Confidential.

- **Complaints about the Chair and Vice Chair of Governors jointly, or the whole Governing Body of a school** within Acer Trust should be addressed to the Chief Executive Officer (CEO) marked Private and Confidential.
- **Complaints about staff who work across the Trust** should be addressed to the Chief Executive Officer (CEO), marked Private and Confidential.
- **Complaints about Acer Trust as an organisation** should be addressed to the Chief Executive Officer (CEO), marked Private and Confidential.
- **Complaints about the CEO or a trustee of the Trust**, should be addressed to the Chair of Trustees, marked Private and Confidential.
- **Complaints about the Chair of the Trust**, should be addressed to the Vice Chair of Trustees, marked Private and Confidential

Appendix B – Complaint form

Please complete and return to the Headteacher for Stage 1 or the Clerk for Stages 2 and 3 who will acknowledge receipt and explain what action will be taken.

Your name:		
Pupil's name (if relevant):		
Your relationship to the pupil (if relevant):		
Contact details:		
Please give details of your complaint (adding extra pages if necessary):		
No	Details of issue	What action has been taken to resolve the matter and by whom?
1		
2		
3		
etc		

What actions do you feel might resolve the problem at this stage?

Are you attaching any paperwork? If so, please give details.

Signature:

Date:

Official use

Date acknowledgement sent:

By who:

Complaint referred to:

Action taken:

Date:

Appendix C: Model agenda for Stage 3 Governors Panel Hearing

Agenda for Stage 3 Governors Panel Hearing regarding <Insert name of school, date, time and venue>

The Chair of the Governors Panel Hearing will invite everybody into the room at the same time. He/she will facilitate introductions and clarify roles.

The Chair will confirm that electronic recording of the meeting is not permitted, unless the complainant's disability/additional needs require it

The Chair will explain to all present the purpose of the meeting:

- The Panel will consider those aspects of the complaint which the complainant believes have not been fully addressed at the previous stages in the complaints procedure and where the complainant believes that the school has not followed its complaints policy.
- Consider the effectiveness of the investigation process at Stage 1 and 2
- Consider ways to resolve the complaint and, if possible, achieve reconciliation between the school and the complainant

The Chair will then outline the procedure for the meeting. He/she should listen to any concerns about the procedure but has the final decision about arrangements.

The complainant will have up to 20 minutes uninterrupted time to outline their complaint.

The Headteacher and Stage 2 investigating governor may ask questions of the complainant for clarification.

The Panel may ask questions of the complainant for clarification.

The Headteacher and Stage 2 investigating governor will have up to 20 minutes uninterrupted time to state the school's case and respond to the points made by the complainant.

The complainant may ask questions of the Headteacher and Stage 2 investigating governor for clarification.

The Panel may ask questions of the Headteacher and Stage 2 investigating governor for clarification.

The school representative (either the Headteacher or the investigating Governor) will have up to 5 minutes to summarise the school's position.

The complainant will have up to 5 minutes to summarise their position.

The Chair will thank the complainant and Headteacher and Stage 2 investigating governor for their contributions, and confirm that the Panel will deliberate and send their decision to the complainant in writing within 10 school working days.

The meeting will then close.

Deliberation by the Panel

The Panel will then deliberate. The Clerk to the Panel will note the key points of the discussion and offer procedural advice.

The Panel will then arrive at its decision. This will cover:

- Findings on the issues raised by the complainant about the way in which their complaint was addressed by the Stage 1 and 2 investigations
- Full explanation of decisions reached by them and their reasons
- (Where appropriate) Action to be taken by the school to resolve the complaint
- Any recommendations for the school's leadership and governing body to consider

The decision will be notified to all parties, in writing, within 10 school days, along with a copy of the minutes of the Panel Hearing.

Appendix D: Roles and Responsibilities

Complainant

The complainant will receive a more effective response to the complaint if they:

- explain the complaint in full as early as possible
- co-operate with the school in seeking a solution to the complaint
- respond promptly to requests for information or meetings or in agreeing the details of the complaint
- ask for assistance as needed
- treat all those involved in the complaint with respect
- refrain from publicising the details of their complaint on social media and respect confidentiality.

Investigator (Stage 1)

The investigator's role is to provide a comprehensive, open, transparent and fair consideration of the complaint through:

- sensitive and thorough discussion with the complainant to establish their perspective and desired outcomes
- interviewing staff and other people relevant to the complaint
- consideration of records and other relevant information
- analysing information
- liaising with the complainant and the complaints co-ordinator as appropriate to clarify what the complainant feels would put things right.

Chair of Governors / Nominated Governor (Stage 2)

The Chair of Governors / Nominated Governor's role is to provide an impartial overview of the complaint, investigation and procedure at Stage 1 by:

- ensuring they understand which elements(s) of the complaint or remain unaddressed for the complainant
- gathering details about the specific issues involved in the complaint
- reviewing all documentation and correspondence associated with the complaint
- addressing their attention to unresolved aspects of the complaint

- liaising with complainant and headteacher / investigator as required for clarification
- investigating conflicting evidence by seeking corroborative evidence
- establishing desired outcomes
- identifying, if possible, a resolution or way forward.

Clerk to the Stage 3 Panel Hearing

The Clerk is the contact point for the complainant and the Panel and should:

- ensure that all people involved in the complaint procedure are aware of their legal rights and duties, including any under legislation relating to school complaints, education law, the Equality Act 2010, the Freedom of Information Act 2000, the Data Protection Act (DPA) 2018 and the General Data Protection Regulations (GDPR)
- set the date, time and venue of the meeting, ensuring that the dates are convenient to all parties (if they are invited to attend) and that the venue and proceedings are accessible
- collate any written material relevant to the complaint and send it to the parties in advance of the meeting within an agreed timescale
- record the proceedings
- circulate the minutes of the meeting within 10 school days of the meeting
- notify all parties of the Panel's decision.

Panel Chair

The Panel's chair, who is nominated in advance of the complaint panel hearing, will ensure that the meeting is conducted in line with the complaints procedure and best practice principles.

Panel Members

Panel members should be aware that:

- the meeting must be independent and impartial, and should be seen to be so
 - no governor / trustee may sit on the Panel if they have had a prior involvement in the complaint or in the circumstances surrounding it
- they should read all documentation and understand the aims and purpose of the panel meeting, which is to resolve the complaint and achieve reconciliation between the school and the complainant
 - we recognise that the complainant might not be satisfied with the outcome if the meeting does not find in their favour. It may only be possible to establish the facts and make recommendations.
- complainants may feel nervous and inhibited in a formal setting
- parents/carers often feel emotional when discussing an issue that affects their child.
- extra care needs to be taken if a child/young person is present during all or part of the meeting; the welfare of the child/young person is paramount.